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NO. 6989 P. 2/4

Docket No. TPI5024USNP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☐ In re application of: Nathan Kane, et. al.

Application No.: 10/700,146

Group No.: 1797

Filed: November 3, 2003

Examiner: Brain R. Gordon

For: METHODS OF MANIPULATING SMALL AMOUNTS OF SOLIDS

☐ Patent No.*:

Issued:

**Note: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.*

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 C.F.R. § 1.27(g)(2))

Note: "Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

Note: From the above portion of 37 C.F.R. § 1.27(g)(2), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity to another small entity status requires no notification.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(A) AND 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

☐ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, CA 22313-1450

37 C.F.R. § 1.8(a)

☐ with sufficient postage as first class mail

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee"
Mailing Label No. (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703)

Signature

Date:

(type or print name of person certifying)

**Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

(Rel.86-4/01 Pub.605) (Notification of Loss of Entitlement to Small Entity Status [7-5]-page 1 of 3)

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the assertion for small entity status, set forth

(complete a and/or b as applicable)

a. ☐ by paying the filing fee as a small entity on _____ (Date)

b. ☒ by the paper filed on November 3, 2003 (Date)

Note: "The notification that small entity status is no longer appropriate must be signed by a party identified in §1.33(b)." 37 C.F.R. §1.27(g)(2).

35 C.F.R. §1.33(b):

"(b) Amendments and other papers. Amendments and other papers, except for written assertions pursuant to §1.27(c)(2)(II) of this part, filed in the application must be signed by:

- 1. A registered attorney or agent of record appointed in compliance with §1.34(b);*
- 2. A registered attorney or agent not of record who acts in a representative capacity under the provisions of §1.134(a);*
- 3. An assignee as provided for under §3.71(b) of this chapter; or*
- 4. All of the applicants (§1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with §3.71 of this chapter.*

Date: September 30, 2008

(print or type name of person signing)

/Jamie Lamann/

Signature: Jamie Lamann

Registration No: 48,623

Johnson & Johnson
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New Brunswick, NJ 08933-7003
732-524-1106

- ☐ Inventor (s)
- ☐ Assignee(s) of complete interest
- ☐ Person authorized to sign on behalf of assignee
- ☐ Practitioner of record under §34(b)
- ☒ Filed under §34(a)

Customer No: 000027777

(Rel.86—4/01 Pub.605) (Notification of Loss of Entitlement to Small Entity Status [7-5]-page 2 of 3)

(complete the following, if applicable)

Transform Pharmaceuticals, Inc.
(type name of assignee)

29 Hartwell Avenue
Lexington, MA 02421
(Address of assignee)

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO April 14, 2004 and August 2, 2004

Reel 015204/015630 Frame 0358/0810

(Rel.86—4/01 Pub.805) (Notification of Loss of Entitlement to Small Entity Status [7-5]-page 3 of 3)